

Rev 09/03 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Mark D. Laird, et al.

Application No. : 10/661,739

Filed : September 12, 2003

Confirmation No. : 8290

FOR : TRAFFIC VIOLATION DETECTION AT AN INTERSECTION

EMPLOYING A VIRTUAL VIOLATION LINE

Attorney's Docket : NEST-010AX

TC Art Unit: 2123

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 12-15-03.

Victor B. Lebovici

Registration No. 30,864 Attorney for Applicants

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

It is desired to cite for the record in this application the enclosed references listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached Information Disclosure Statement is being filed within three months of the filing date of the above identified national application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 of the above identified application. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.

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[X] (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.

- [] (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- [] (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
 - [] a statement under 37 CFR \S 1.97(e); or
 - [] the fee set forth in $\S 1.17(p)$.

PETITION UNDER 37 CFR § 1.97(d)

[] (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the

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undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. \S 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

MARK D. LAIRD, ET AL.

Victor B. Lebovici

Registration No. 30,864

Attorney for Applicants

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Ten Post Office Square

Boston, Massachusetts 02109

Telephone: (617) 542-2290 Telecopier: (617) 451-0313

Enclosure 299108



Date: December 15, 2003 Page 1 of 7

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EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION/ ISSUE DATE	IN	AME	CLASS	SUBCLASS	FILING	DATE	
	US3,825,890	7/23/1974	Miyazata,	et al.	340	40			
	US3,849,784	11/19/1974	Holzapfel		346	107			
	US4,007,438	2/8/1977	Protonantis	S	340	62			
	US4,774,571	9/27/1988	Mehdipou	, et al.	358	108			
	US4,783,833	11/8/1988	Kawabata,	et al.	382	22			
	US4,814,765	3/21/1989	DeRoche,	et al.	340	915			
	US4,887,080	12/12/1989	Gross		340	937			
	US5,026,153	6/25/1991	Suzuki, et a	al.	356	1			
		FOREIGN PATEN	T DOCUME	NTS					
	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLASS	TRANS	LATION	
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	WO 9428527	12/8/94	WIPO						
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<u> </u>	OTHER DOCUM	MENTS (including Au	thor, Title, Da	te, Pertinent	Pages, etc.)		<u> </u>	1	
	Tindall, "Application of Neural Network Techniques to Automatic License Plate Recognition," IEEE European convention on security and detection, 1995								
	St. Petersburg Times, "Put a Stop to Red Light violators," September 9, 1998								
	Star Tribune, "Many favor use of	photo cop// readers s	say red light	runners sho	ıld be caught	on camera," De	cember 1	8, 1997	
	Rockey Mountain News, "Runnin	g red light to cost me	orefor som	ne traffic vio	lators," Nove	mber 27, 1997			
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	U.S. DEPARTMENT OF COMMERCE			ATTY, DOCKET NO.		APPLICATION NO.	
(REV. 08/02) PATENT AND TRADEMARK OFFICE				NEST-010A	ΑX	10/661,739	
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	·	37		APPLICANT:	•	<u> </u>	
				Mark D. La	ird, et al.		
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		U.S. PATENT	DOCUMENT	September 12,	2003	2123	
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	US5,063,603	11/5/1991	Burt		382	37	
	US5,099,322	3/24/1992	Gove		358	105	
	US5,122,796	6/16/1992	Beggs, et al.		340	904	
	US5,257,194	10/26/1993	Sakita		364	436	
US5,281,949 1/25/1994 US5,285,523 2/8/1994 US5,291,563 3/1/1994		1/25/1994	Takahashi 395		340	433	
		2/8/1994			395	22	
		3/1/1994			382	48	
	US5,296,852	3/22/1994	Rathi		340	933	
	US5,301,239	4/5/1994	Toyama, et	al.	382	1	
	US5,313,201	5/17/1994	Ryan		340	961	
	US5,332,180	7/26/1994	Peterson, et al.		246	3	
	US5,357,432	10/18/1994	Margolis, et al.		364	424.02	
	US5,375,059	12/20/1994	Kyrtsos, et al.		364	449	
	US5,375,250	12/20/1994	Van den Heuvel		395	800	
	US5,381,155	1/10/1995	Gerber		342	104	
	US5,390,118	2/14/1995	Margolis, e	t al.	364	424.02	
	US5,390,125	2/14/1995	Sennott, et	Sennott, et al.		449	
	US5,402,118	3/28/1995	Aoki		340	937	
	US5,404,306	4/4/1995	Mathur, et a	ıl.	364	436	
	US5,408,330	4/18/1995	Squicciarin		358	335	
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	U.S. DEPARTMENT OF CO	MMERCE	-	ATTY. DOCKET NO.		APPLICATION NO.	
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	US5,416,711	5/16/1995	Gran, et al.	· · · · · · · · · · · · · · · · · · · ·	364	436	
	US5,434,927	7/18/1995	Brady, et a	Ī.	382	104	
	US5,448,484	9/5/1995	Rullock, et	Rullock, et al.		436	
	US5,467,402 11/14/1995 Okuyar		Kuhn 340 Hancock, et al. 348		340	435	
					348	396 104 104	
			Okuyama,	, et al. 382			
			Toyama 382		382		
	US5,610,660	3/11/1997	Hamano, e	t al.	348	423	
	US5,617,086	4/1/1997	Klashinsky	, et al.	340	907	
	US5,687,717 11/18/1997 1		Halpern, et	Halpern, et al. 128		630	
US5,70	US5,708,469	1/13/1998	Herzberg		348	39	
	US5,729,216	3/17/1998 Sasaki, et		al. 340		937	
	US5,829,285	11/3/1998	Wilson		70	226	
	US5,948,038	9/7/1999	Daly, et al.	Daly, et al.		117	
	US5,963,204	10/5/1999	Ikeda, et al. Takahashi, et al.		345	328	
	US5,999,877	12/7/1999			701	117	
	US6,067,075	5/23/2000	Pelanek		345	158	
	US6,069,655	5/30/2000	Seeley, et a	l.	348	154	
	US6,075,466	6/13/2000	Cohen, et a	l.	340	933	
EXAMINER	US6,091,857	7/18/2000	Shaw, et al.	E CONSIDERI	382	251	

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	i			ATTY. DOCKET NO.		APPLICATION NO.	
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	US6,202,073	3/13/2001	Takahashi		707	517	
	US6,269,399	7/31/2001	Dyson, et al.		709	224	
	US6,330,369	12/11/2001	Cornog, et al.		382	251	,
	US6,366,222	4/2/2002	Russell, Jr.		340	933	
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	US5,474,266	12/12/1995	Koglin		246	111	
	US5,483,446	1/9/1996	Momose, et al.		364	424.01	
	US5,495,243	2/27/1996	McKenna		340	902	
	US5,509,082	4/16/1996	Toyama, et al.		382	104	
	US5,535,314	7/9/1996	Alves, et al.		395	131	
	US5,809,161	9/15/1998	Auty, et al.		382	104	
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	3,613,073	10/12/71	Eugene Emerson Cl	ifi	340	31A		
	3,731,271	5/1/73	Akira Muramatu, et al.		340	41		
	5,444,442	8/22/95	Mareo Sadakata, et al.		340	916		
	5,777,564	7/7/98	Edward L. Jones		340	917		
	5,977,883	11/2/99	William H. Leonard, et al.		340	906		
	6,008,741	12/28/99	Masahiko Shinagawa, et al.		340	907		
***	3,149,306	9/15/64	John C. Lesher		340	41		
	3,196,386	7/20/65	Bruno Rossi, et al.		340	31		
	3,302,168	1/31/67	George W. Gray, et al.		340	31		
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FORM PTO-1449 (REV. 8-83) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY. DOCKET NO. NEST-010AX		O. APPLICATION NO. 10/661,739	
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	3,689,878	9/5/72	Keith L. Thieroff	·	340	31R	
	3,693,144	9/19/72	Milton Friedman		340	37	
	3,810,084	5/7/74	Harold C. Hoyt, Jr.		340	41R	
	3,858,223	12/31/74	Jurgen Holzapfel		346	107VP	
	3,866,165	2/11/75	Herbert Maronde, et al.		340	31C	
	3,885,227	5/20/75	Friedrich-Gerhard Moissl		340	41R	
	3,886,515	5/27/75	Jean Cottin, et al.		340	23	
	3,920,967	11/18/75	8/75 Daniel T. Martin, et al.		235	150.24	
	3,921,127	11/18/75	Narbaits-Jaureguy, e	t al.	340	32	
	4,122,523	10/24/78	Charles W. Morse, et	t al.	364	436	
	4,200,860	4/29/80	George H. Fritzinger	•	340	43 .	
	4,228,419	10/14/80	George P. Anderson		340	32	,
	4,361,202	11/30/82	Michael Minovitch		180	168	-
	4,371,863	2/1/83	George H. Fritzinger		340	43	
	4,401,969	8/30/83	Gordon J. Green, et a	al.	340	41R	
	5,164,998	11/17/92	Roger A. Reinsch		382	65	
	5,278,554	1/11/94	Louis L. Marton		340	910	
	5,283,573	2/1/94	Masao Takatou, et al		340	937	
	5,339,081	8/16/94	Brian Jefferis, et al.		342	28	
	5,345,232	9/6/94	Michael T. Robertson	n	340	906	
	5,387,908	2/7/95	Edgeton Henry, et al.		340	916	
	5,440,109	8/8/95	Bernhard Hering, et a	ol.	235	384	
	5,444,442	8/22/95	Mareo Sadakata, et a		340	916	
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^{*}EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: December 15, 2003 Page 7 of 7

	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE NFORMATION DISCLOSURE CITATION			APPLICATION NO. 10/661,739	
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5,530,441 6/25/96 Masao Takato	u et al	.	340	937	APPROPRIATE*
5,774,569 6/30/98 H. Eugene W.		·	382	100	
5,801,646 9/1/98 Martin R. Pen			340	902	
5,821,878 10/13/98 Subhash C. Ra			340	902	
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Applicant: Mark D. Land e	tal.
Title: Traffic Violation.	Filed: 9-12-03
Application No. 10/661,739 Patent No.	Docket No. NEST - 0164K
Enclosed is the Following:	
☐ Transmittal Letter in triplicate, Check(s) for;	☐ Certificate/Letter of Correction, Cover letter & check for
	☐ Notice of Appeal (In Triplicate), Check for
☐ Declaration and Power of Attorney, Check(s) for;	Petition for Extension of Time forMonths, Check for
☐ Informal / Formal Drawings,;	 Verified Statement Claiming Small Entity Status Certificate of Mailing by Express Mail
☐ Letter to Chief Draftsman;	Other
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Information Disclosure Statement, Form 1449, 100 refs., Check for (5 enc.)	Receipt Date & Application No.
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